final  $\Delta F$  map had a background of  $\pm 0.5 \text{ e}/\text{Å}^3$ .

The scattering curves were taken from Cromer and Waber<sup>17</sup> except for that of hydrogen, which was from Stewart et al.<sup>18</sup>

NMR and ESR Spectra. Proton spectra were measured on a Varian XL-200 FT spectrometer. The inept spectrum shown in Figure 3 was measured on a Varian XL-300 FT machine at 59.59 MHz. The sample was a saturated solution in THF at -80 °C and was referenced to external Me<sub>4</sub>Si in CDCl<sub>3</sub> (1:1 (v/v)) at ambient temperature. The following pulse sequence was used for the proton-decoupled spectrum:  $90^{\circ}(H, x) - \tau/2 - 180^{\circ}(H, x), 180^{\circ}(Si, x) - \tau/2 - 90^{\circ}(H, y), 90^{\circ}(Si, x) - \tau/2 - 90^{\circ}(H, y), 180^{\circ}(Si, x) - \tau/2 - 180^{\circ}(S$  $\Delta/2-180^{\circ}(\text{H}, x)$ ,  $180^{\circ}(\text{Si}, x)-\Delta/2$ -decouple-acquire.

For both coupled and decoupled spectra the following parameters were used:  $PW(90^{\circ})_{H} = 41.1 \ \mu_{s}$ ,  $PW(90^{\circ})_{Si} = 21.5 \ \mu_{s}$ . The FIDs were collected into 32 K data points. Since no silicon satellites were observed in the <sup>1</sup>H spectrum, a J value of 200 Hz was initially used, based on the reported value for PhSiH<sub>3</sub>.<sup>19</sup> A spectral width of 50 KHz was employed with a digital resolution of 3.3 Hz. A refocussing delay of 1.67 ms was used in conjunction with an excitation delay of 2.5 ms and an equilibrium delay of 2 s. A coupled spectrum was subsequently run with use of the

same conditions to obtain a more accurate  $J_{Si-H}$  value, and the parameters were then modified to optimize the coupled spectrum. The modified parameters were  $J_{Si-H} = 150$  Hz, spectral width = 7142.9 Hz, digital resolution = 0.45 Hz, excitation transfer delay = 3.33 ms, and equilib rium delay = 1 s.

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Supplementary Material Available: Calculated positions for hydrogen atoms of phenyl groups and Cp rings for 2 (Table VII) and 3 (Table VIII), anisotropic thermal parameters for non-hydrogen atoms of 2 (Table IX) and 3 (Table X), and calculated and observed structure factor amplitudes for 2 (Table XI) and 3 (Table XII) (6 pages). Ordering information is given on any current masthead page.

# Hydride Transfer in the Oxidation of Formate Ion by $[(bpy)_{2}(py)Ru(O)]^{2+}$

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Abstract: The kinetics of oxidation of formic acid/formate ion by [(bpy)<sub>2</sub>(py)Ru<sup>IV</sup>(O)]<sup>2+</sup> (bpy is 2,2'-bipyridine and py is Abstract: The kinetics of oxidation of formic acid/formate ion by  $[(bpy)_2(py)Ru^{1^{v}}(O)]^{2^{v}}$  (bpy is 2,2'-bipyridine and py is pyridine) have been studied as a function of pH and temperature, and the kinetics of oxidation of HCO<sub>2</sub><sup>-</sup> by  $[(bpy)_2(py)-Ru^{II}(OH)]^{2^{+}}$  have been studied at pH 7. The pH dependence of the Ru<sup>IV</sup> reaction is consistent with paths involving oxidation of HCO<sub>2</sub>H, k (25 °C,  $\mu = 0.1$  M) = 0.01  $\pm$  0.04 M<sup>-1</sup> s<sup>-1</sup>, and of HCO<sub>2</sub><sup>-</sup>, k (25 °C,  $\mu = 0.1$  M) = 4.2  $\pm$  0.2 M<sup>-1</sup> s<sup>-1</sup>. For the oxidation of HCO<sub>2</sub><sup>-</sup> by Ru<sup>III</sup>, k (25 °C,  $\mu = 1.0$  M, pH 7) = 0.01 M<sup>-1</sup> s<sup>-1</sup>. The path involving Ru<sup>IV</sup> and HCO<sub>2</sub><sup>-</sup> exhibits a large kinetic isotope effect with  $k_{HCO_2}/k_{DCO_2} = 19$  (25 °C,  $\mu = 1.0$  M) while that involving Ru<sup>III</sup> and HCO<sub>2</sub><sup>-</sup> has a much smaller kinetic isotope effect with  $k_{HCO_2}/k_{DCO_2} = 3$  (25 °C,  $\mu = 1.0$  M). Spectral evidence, in conjunction with the isotope effect data, suggests that oxidation of HCO<sub>2</sub><sup>-</sup> by  $[(bpy)_2(py)Ru^{IV}(O)]^{2^{+}}$  occurs by a two-electron, hydride transfer which is discussed in some detail. Oxidation of HCO<sub>2</sub><sup>-</sup> by  $[(bpy)_2(py)Ru^{III}(OH)]^{2^{+}}$  appears to occur by outer-sphere electron transfer.

An important theme in our recent work has been the preparation and characterization of polypyridyl oxo complexes of ruthenium-(IV)<sup>1,2</sup> and an examination of their abilities as stoichiometric and catalytic oxidants.<sup>3,4</sup> The results of mechanistic studies suggest that oxidation of 2-propanol to acetone<sup>5</sup> and of cumene to cumyl alcohol<sup>6</sup> proceed by two-electron, hydride transfers, e.g., reaction 1', oxidation of hydrogen peroxide to oxygen via hydrogen atom transfer,<sup>7</sup> and oxidation of PPh<sub>3</sub> to OPPh<sub>3</sub> by O-atom transfer.<sup>8</sup> One of the notable features about the polypyridyl complexes as

$$\begin{array}{c} CH_{3} \\ (lbpy)_{2}(py)Ru^{IV} \longrightarrow Ol^{2+} + H \longrightarrow C \longrightarrow OH + (lbpy)_{2}(py)Ru^{II} \longrightarrow OH)^{+} + \\ CH_{3} \\ CH_{3} \\ C \longrightarrow OH^{+} \xrightarrow{c}_{rapid} (lbpy)_{2}(py)Ru^{II} \longrightarrow OH_{2})^{2+} + CH_{3} \\ CH_{3} \\ C \longrightarrow OH^{+} \xrightarrow{c}_{rapid} (lbpy)_{2}(py)Ru^{II} \longrightarrow OH_{2})^{2+} + CH_{3} \\ CH_{3} \\ C \longrightarrow OH^{+} \xrightarrow{c}_{rapid} (lbpy)_{2}(py)Ru^{II} \longrightarrow OH_{2})^{2+} + CH_{3} \\ CH_{3} \\ C \longrightarrow OH^{+} \xrightarrow{c}_{rapid} (lbpy)_{2}(py)Ru^{II} \longrightarrow OH_{2})^{2+} + CH_{3} \\ C \longrightarrow OH^{+} \xrightarrow{c}_{rapid} (lbpy)_{2}(py)Ru^{II} \longrightarrow OH_{2})^{2+} + CH_{3} \\ C \longrightarrow OH^{+} \xrightarrow{c}_{rapid} (lbpy)_{2}(py)Ru^{II} \longrightarrow OH_{2})^{2+} + CH_{3} \\ C \longrightarrow OH^{+} \xrightarrow{c}_{rapid} (lbpy)_{2}(py)Ru^{II} \longrightarrow OH_{2})^{2+} + CH_{3} \\ C \longrightarrow OH^{+} \xrightarrow{c}_{rapid} (lbpy)_{2}(py)Ru^{II} \longrightarrow OH_{2})^{2+} + CH_{3} \\ C \longrightarrow OH^{+} \xrightarrow{c}_{rapid} (lbpy)_{2}(py)Ru^{II} \longrightarrow OH_{2})^{2+} + CH_{3} \\ C \longrightarrow OH^{+} \xrightarrow{c}_{rapid} (lbpy)_{2}(py)Ru^{II} \longrightarrow OH_{2})^{2+} + CH_{3} \\ C \longrightarrow OH^{+} \xrightarrow{c}_{rapid} (lbpy)_{2}(py)Ru^{II} \longrightarrow OH_{2})^{2+} + CH_{3} \\ C \longrightarrow OH^{+} \xrightarrow{c}_{rapid} (lbpy)_{2}(py)Ru^{II} \longrightarrow OH_{2})^{2+} + CH_{3} \\ C \longrightarrow OH^{+} \xrightarrow{c}_{rapid} (lbpy)_{2}(py)Ru^{II} \longrightarrow OH_{2} \\ C \longrightarrow OH^{+} \\ C \longrightarrow OH_{2} \\ C \longrightarrow OH$$

oxidants is that they are easily amenable to kinetic studies and detailed mechanistic investigations based on <sup>18</sup>O labeling, pH dependence, H/D kinetic isotope effects, and initial product studies the latter of which can sometimes allow a distinction to be drawn between one- and two-electron pathways.

We report here a detailed study on the oxidation of formate ion and formic acid by  $[(bpy)_2(py)Ru(O)]^{2+}$  to give CO<sub>2</sub>. In addition to a general interest in C-based redox chemistry, two points drew our attention to the formate/formic acid reaction. The first is that the oxidation of formate by a variety of oxidants has been reported which allows for comparisons to be made between their reactivity characteristics and those of oxo complexes

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of Ru<sup>IV</sup>. The second was the possibility of gaining insight into possible pathways for the more interesting microscopic reverse reaction, the reduction of  $CO_2$  to formate.

#### **Experimental Section**

Materials. House distilled water was purified by distillation from alkaline permanganate. Sodium formate from the Aldrich Chemical Company was recrystallized twice from water and dried in a vacuum oven. DCO<sub>2</sub>D, 99.8 atom %, obtained from the Stohler Chemical Company, Waltham, MA and D<sub>2</sub>O, 99.8 atom %, from Aldrich, were used without further purification. All other materials were reagent grade and used without further purification.

The salts  $[Ru(bpy)_2(py)(OH_2)](ClO_4)_2$  and [Ru-Preparations. (bpy)<sub>2</sub>(py)(O)](ClO<sub>4</sub>)<sub>2</sub> were prepared by previously reported procedures.1a

Instrumentation. Routine UV-vis spectra were recorded on Bausch and Lomb Spectronic 2000 or Hewlett Packard 8450A Diode Array spectrophotometers. pH was measured by using a Radiometer pHM62 pH meter. Coulometric measurements were performed at room temperature by using a PAR Model 173 Potentiostat/Galvanostat. Reticulated vitreous carbon electrodes, 12 holes for 1 linear in. (ERG, Inc., Oakland, CA), were used in the coulometric determinations. Gas chromatographic measurements were performed on a Hewlett Packard 5890A Gas Chromatograph equipped with a Porapak Q column (Alltech Associates, Inc., Deerfield, IL) using He as the carrier gas. Stopped-flow measurements were carried out on an Aminco-Morrow stopped-flow apparatus attached to a Beckman DU monochrometer, details of which are given elsewhere.<sup>9</sup> Calculations were performed on a Commodore PET Computer Model 4032 using locally written programs or for curve fitting the UNC VAX-11 system using RS/1 software by BBN Research Systems. The program uses a nonlinear least-squares analysis.

Kinetic Measurements on  $[(bpy)_2(py)Ru(O)](CO_4)_2$  as Oxidant. Rate data for the disappearance of  $Ru^{IV}$  were collected by following the absorbance increase at 397 nm. This wavelength is an isosbestic point for  $[(bpy)_2(py)Ru^{III}(OH)]^{2+}$  and  $[(bpy)_2(py)Ru^{II}(OH_2)]^{2+}$  and as such, at this wavelength the disappearance of  $Ru^{IV}$  can be followed without interference from the subsequent reduction of  $Ru^{111}$  to  $Ru^{11}$ . Plots of  $ln | A_{\infty}$  $-A_i$  vs. time were linear, and first-order rate constants were calculated on the basis of a least-squares fit (uniform weighting) to the relation

# $\ln |A_{\infty} - A_t| = -kt + \ln |A_{\infty} - A_0|$

where  $A_{\infty}$  is the final absorbance at completion of the reaction,  $A_0$  is the initial absorbance,  $A_i$  is the absorbance measured at time  $t_i$  and k is the first-order rate constant.  $A_{\infty}$  readings were obtained for each run, and data from the first 4 half-lives were used in determining k. The observed rate constants were invariant to changes in concentration of either oxidant over the range  $10^{-4}-5 \times 10^{-4}$  M. Ionic strengths were varied from 0.10 M to 1.00 M with Na<sub>2</sub>SO<sub>4</sub>. In some experiments NaClO<sub>4</sub> was used to maintain ionic strength. Small differences were observed between the two electrolytes. pH was controlled with phosphate buffers, and reaction rates were independent of the buffer concentration. Purging reaction solutions with Ar prior to use had no apparent effect on the kinetics so no attempts were made to achieve anaerobic conditions. Formate concentrations were determined by titration of formic acid solutions prior to dilution with buffer solutions or by weighing  $NaCO_2H$  and dissolving the solid in buffer. Rate constants obtained from the two procedures agreed to within 5%.

Kinetic Measurement Based on [(bpy)<sub>2</sub>(py)Ru(OH)]<sup>2+</sup> as Oxidant. Two approaches were used in studying the reactivity of the Ru<sup>111</sup> complex with formate. Method A: in one approach, rate data were collected by mixing Ru<sup>IV</sup> with formate and monitoring the subsequent spectral increase at 470 nm instead of 397 nm. Plots of  $\ln |A_{\infty} - A_t|$  vs. time for the 470-nm data were nonlinear. At 470 nm the initial curved portion of the plot arises from the reaction of Ru<sup>IV</sup> while the later, linear portion of the plot monitors the reduction of Ru<sup>111</sup> to Ru<sup>11</sup>. The slope of the limiting, linear portion was taken as the rate constant for the reaction of  $Ru^{III}$  with formate.<sup>10</sup> Method B: as a check, a second approach was undertaken where  $[(bpy)_2(py)Ru(OH)]^{2+}$  was generated in situ by mixing  $[(bpy)_2(py)Ru(O)]^{2+11}$  with an excess of  $[(bpy)_2(py)Ru(H_2O)]^{2+11}$ The resulting solution was mixed with formate, and spectral changes were monitored at 470 nm. Plots of  $\ln |A_{\infty} - A_t|$  vs. time were linear, and the rate constants which resulted from the treatment were within 8% of those obtained in the first approach.



Figure 1. Successive spectral scans during the oxidation of formate by  $[(bpy)_2(py)Ru(O)]^{2+}$  in pH 7.0 phosphate buffer after (A) 0, (B) 10, (C) 20, (D) 90, (E) 140, (F) 190, (G) 360, (H) 900, and (I) 2700 s.

#### Results

Stoichiometry. The overall stoichiometry of the oxidation is 1:1, as determined by a spectrophotometric titration of [(bpy)<sub>2</sub>-(py)Ru(O)<sup>2+</sup> with formate in unbuffered water and monitoring at 470 nm which is a  $\lambda_{max}$  for the aqua product.

Product Analysis. CO2 generated by the electrocatalytic oxidation of HCO<sub>2</sub><sup>-</sup> was shown to be the oxidation product via gas chromatography. In one experiment 0.0035 g of [(bpy)<sub>2</sub>(py)- $Ru(H_2O)](ClO_4)_2$  were dissolved in 20 mL of 0.103 M sodium formate and electrolyzed at 0.8 V by using a reticulated vitreous carbon electrode in a three-arm electrolysis cell. In the experiment,  $[(bpy)_2(py)Ru(O)]^{2+}$  generated electrochemically is reduced to  $[(bpy)_2(py)Ru(H_2O)]^{2+}$  by formate and then regenerated at the electrode which allows for large amounts of  $CO_2$  to be built up in the cell. After  $6.7 \times 10^{-5}$  equiv had been passed, the electrolysis was stopped, and the solution was acidified. GC analysis of the air space above the acidified solution clearly indicated the presence of significant amounts of CO<sub>2</sub> although no attempt was made to quantify the amount of  $CO_2$  produced. The ruthenium product after the reaction of  $[(bpy)_2(py)Ru(O)]^{2+}$  with formate is  $[(bpy)_2(py)Ru(H_2O)]^{2+}$  as shown by cyclic voltammetry and UV-vis spectroscopy.

Kinetics. Figure 1 shows the spectral changes accompanying the oxidation of formate by  $[(bpy)_2(py)Ru(O)]^{2+}$ . Two stages are evident, the faster process being attributable to the oxidation by  $[(bpy)_2(py)Ru^{IV}(O)]^{2+}$  and the slower to the oxidation by  $[(bpy)_2(py)Ru^{III}(OH)]^{2+}$ . Kinetics of the faster process were monitored at 397 nm, and the data collected are given in Table I. The reaction is first order in formate over the concentration range cited in Table I. At pH 7 the experimental rate law can be described by

$$-\mathbf{d}[\mathbf{R}\mathbf{u}^{\mathsf{I}\mathsf{V}}]/\mathbf{d}t = k[\mathbf{H}\mathbf{C}\mathbf{O}_2^{-}]_T[\mathbf{R}\mathbf{u}^{\mathsf{I}\mathsf{V}}] = k_{\mathrm{obsd}}[\mathbf{R}\mathbf{u}^{\mathsf{I}\mathsf{V}}]$$
(1)

where  $[HCO_2^-]_T$  is total formate ion plus formic acid, k = 4.2 $\pm$  0.2 M<sup>-1</sup> s<sup>-1</sup> at 25 °C,  $\mu$  = 0.10 M (NaClO<sub>4</sub>), and k = 4.5  $\pm$  $0.2 \text{ M}^{-1} \text{ s}^{-1}$  at 25.6 °C,  $\mu = 1.0 \text{ M}$  (Na<sub>2</sub>SO<sub>4</sub>). Decreasing the ionic strength to 0.10 M (Na<sub>2</sub>SO<sub>4</sub>) resulted in an increase in k to 6.7 M<sup>-1</sup> s<sup>-1</sup> as expected for a reaction involving reactants of opposite charge types.

<sup>(9)</sup> Cramer, J. L. Ph.D. Dissertation, The University of North Carolina, Chapel Hill, NC, 1975, pp 191–216. (10) For more details of this treatment see ref 5.

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**Table I.** Observed Pseudo-First-Order Rate Constants and Calculated Second-Order Rate Constants for the Oxidation of Formate/Formic Acid by [(bpy)<sub>2</sub>(py)Ru(O)]<sup>2+</sup> and [(bpy)<sub>2</sub>(py)Ru(OH)]<sup>2+</sup>

pН	[formate] <sub>7</sub>	$10^3 \times k_{\rm obsd} \ ({\rm s}^{-1})^a$	$k (M^{-1} s^{-1})$
	[(bpy)	$P_2(py)Ru(O)]^{2+}$	
7.00	0.1290	557	4.32
7.00	0.0800	335	4.19
7.00	0.0400	170	4.25
7.00	0.0080	34.7	4.33
8.12	0.0800	335	4.18
6.56	0.0800	332	4.15
5.71	0.0800	326	4.08
5.24	0.0800	330	4.13
4.28	0.0800	288	3.60
3.88	0.0800	242	3.03
3.68	0.0800	157	1.96
3.22	0.0800	100	1.25
2.78	0.0800	44.2	0.552
1.91	0.0800	12.3	0.154
1.56	0.0800	4.89	0.0611
1.32	0.0800	3.38	0.0423
1.07	0.0800	1.87	0.0234
1.00	0.0423	0.669	0.0158
1.00	0.0282	0.418	0.0148
1.00	0.0564	0.811	0.0144
1.00	0.0056	0.086	0.0152
	[(bpv) <sub>2</sub>	(pv)Ru(OH) <sup>2+ b</sup>	
7.00	0.0423	0.460 <sup>c</sup>	0.011
7.00	0.0423	0.600 <sup>d</sup>	0.014

<sup>a</sup> Average of five determinations from pH 8.12 to pH 3.22, from pH 2.78 to pH 1.00  $k_{obsd}$  is from a single determination.  $\mu = 0.10$  M (NaClO<sub>4</sub>), 25.5  $\pm$  0.1 °C. Values of  $k_{obsd}$  are  $\pm 5\%$ .  $k = k_{obsd}/$ [HCO<sub>2</sub><sup>-]</sup><sub>T</sub> where [HCO<sub>2</sub><sup>-]</sup><sub>T</sub> = [formate]<sub>T</sub> is total formate. <sup>b</sup> $\mu = 1.0$  M (Na<sub>2</sub>SO<sub>4</sub>). <sup>c</sup>Method A (note Experimental Section)—2 determinations. <sup>d</sup>Method B (note Experimental Section)—2 determinations.



Figure 2. Plot of  $k (M^{-1} s^{-1})$  vs. pH for the oxidation of formate/formic acid by  $[(bpy)_2(py)Ru(O)]^{2+}$  at 25 °C,  $\mu = 0.10$  M (NaClO<sub>4</sub>).

Values of  $k = k_{obsd} / [HCO_2^-]_T$  are pH dependent in more acidic solutions as illustrated in Figure 2, where k ranges from 0.015  $M^{-1}$  s<sup>-1</sup> at pH 1 to 4.2  $M^{-1}$  s<sup>-1</sup> at pH 7. The pH dependence is in accord with the reaction scheme

$$HCOOH \rightleftharpoons HCO_2^- + H^+ \qquad K_a$$
  
[(bpy)<sub>2</sub>(py)Ru(O)]<sup>2+</sup> + HCOOH  $\rightarrow$  products

Ì

$$[(bpy)_2(py)Ru(O)]^{2+} + HCO_2^- \rightarrow products$$
  $k_2$ 

which leads to<sup>12</sup>

$$k = \frac{k_1[H^+] + k_2 K_a}{[H^+] + K_a}$$
(2)

k.



Figure 3. Plot of  $k_{obsd}$  vs. (A) [HCO<sub>2</sub><sup>-</sup>] and (B) [DCO<sub>2</sub><sup>-</sup>] at 25.6 °C, pH 7 phosphate buffer,  $\mu = 1.00$  M (Na<sub>2</sub>SO<sub>4</sub>) for oxidation by [(bpy)<sub>2</sub>-(py)Ru(O)]<sup>2+</sup>.

Best fit values for  $k_1$ ,  $k_2$ , and  $K_a$  were calculated based on the experimental values for k as a function of  $[H^+]$  and the equation above as described in the Experimental Section. The best fit values were  $k_1 = 0.01 \pm 0.04$ ,  $k_2 = 4.2 \pm 0.2$ , and  $K_a = (2.4 \pm 0.2) \times 10^{-4}$ . The curve in Figure 2 is drawn by using these parameters in eq 2. The calculated value of  $K_a$  is in reasonable agreement with that reported for the  $K_a$  of formic acid  $(1.78 \times 10^{-4})$ . Linearization of eq  $2^{12}$  and use of  $K_a = 1.78 \times 10^{-4}$  yielded a straight line (r = 0.997) and values of  $k_1$  and  $k_2$  within experimental error of those obtained by the nonlinear fitting procedure. The relative slowness of the  $k_1$  path results in a large numerical uncertainty for its magnitude, and, statistically, there is no guarantee that such a path plays a significant role. The error associated with the  $k_1$  term is large and might improve if more data in the range from pH 0 to 1 were available. Solubility problems prevented further data acquisition in the perchlorate medium, and the point was not pursued further.

Also given in Table I are the observed rate constants for the oxidation of formate by  $[(bpy)_2(py)Ru^{III}(OH)]^{2+}$ . The oxidation by  $Ru^{III}$  proceeds ca. 350 times slower than oxidation by  $[(bpy)_2(py)Ru^{IV}(O)]^{2+}$  and accounts for the slower process shown in Figure 1.

Results are given in Table II for solvent and substrate kinetic isotope effects. A relatively insignificant inverse solvent kinetic isotope effect  $(k_{H_2O}/k_{D_2O})$  was found for the reaction between  $[(bpy)_2(py)Ru(O)]^{2+}$  and  $HCO_2^-$ . A large deuterium isotope effect is observed for the oxidation of  $HCO_2^-$  by  $[(bpy)_2(py)-Ru(O)]^{2+}$  with  $k(HCO_2^-)/k(DCO_2^-) = 19 \pm 3$  (Figure 3). A much smaller isotope effect exists for the oxidation of formate by  $[(bpy)_2(py)Ru(OH)]^{2+}$  with  $k(HCO_2^-)/k(DCO_2^-) = 3-4$ . Activation Parameters. Activation parameters for the reactions

Activation Parameters. Activation parameters for the reactions between  $[(bpy)_2(py)Ru(O)]^{2+}$  and  $HCO_2^-$  or  $DCO_2^-$  were obtained from plots of ln (k/T) vs. 1/T at pH 7 (Figure 4) or ln k vs. 1/T over the temperature range 16–38 °C (Supplementary Table A). The results obtained were as follows: for  $HCO_2^-$ ,  $\Delta H^+$ = 7.7 ± 0.5 kcal/mol ( $E_a = 7.9 \pm 0.9$  kcal/mol) and  $\Delta S^* = -30$ ± 2 eu [ $A = (2.6 \pm 1.0) \times 10^6$  s<sup>-1</sup>]; for  $DCO_2^-$ ,  $\Delta H^* = 10.8 \pm$ 0.7 kcal/mol ( $E_a = 11.1 \pm 0.9$  kcal/mol) and  $\Delta S^* = -25 \pm 2$ eu [ $A = (1.7 \pm 1.0) \times 10^7$  s<sup>-1</sup>]. Activation parameters were not determined for the oxidation of formate by  $[(bpy)_2(py)Ru(OH)]^{2+}$ .

**One- vs. Two-Electron Transfer.** The magnitude of the H/D isotope effect for formate ion oxidation points to an important role for the  $H-CO_2^-$  bond in the redox step. The question remains

<sup>(12)</sup> Wilkins, R. G. The Study of Kinetics and Mechanism of Reactions of Transition Metal Complexes, Allyn and Bacon: Boston, 1974; pp 43-46.

Table II. Kinetic Isotope Effects for the Oxidation of Formate by  $[(bpy)_2(py)Ru(O)]^{2+}$  at 25.5 ± 0.1 °C, pH 7,  $\mu = 1.0$  M (Na<sub>2</sub>SO<sub>4</sub>)

oxidant	k <sub>H2</sub> 0	$k_{D_2O}$	$k_{\mathrm{H_{2}O}}/k_{\mathrm{D_{2}O}}$	k <sub>HC02</sub> -	k <sub>DC02</sub> -	$k_{\rm HCO_2}/k_{\rm DCO_2}$
[(bpy)(py)Ru(O)] <sup>2+</sup> [(bpy)(bpy)Ru(OH)] <sup>2+</sup>	4.5	4.7ª	0.96	$4.5 \pm 0.2$ $0.011^{b}$ $0.014^{c}$	$0.24 \pm 0.01$ $0.038^{b}$ $0.0034^{c}$	$19 \pm 3$ 3 4

<sup>a</sup>Average of five determinations at a single concentration. <sup>b</sup>Method A; see Experimental Section. <sup>c</sup>Method B; see Experimental Section.



Figure 4. Plots of  $\ln k/T$  vs. 1/T for the oxidation of HCO<sub>2</sub><sup>-</sup> (top) and DCO<sub>2</sub><sup>-</sup> (bottom) by  $[(bpy)_2(py)Ru(O)]^{2+}$  at pH 7,  $\mu = 1.00$  M (Na<sub>2</sub>S-O<sub>4</sub>).

however as to whether the redox step involves a net two-electron transfer and the direct production of  $\mathrm{CO}_2$ 

 $(bpy)_2(py)Ru^{IV} = O^{2+} + H - CO_2^{-} \rightarrow (bpy)_2(py)Ru^{II} - OH^+ + CO_2$  (3) or an initial one-electron step

 $(bpy)_2(py)Ru^{IV} = O^{2+} + H - CO_2^{-} \rightarrow$ 

$$(bpy)_2(py)Ru^{111}-OH^{2+} + CO_2^{-}$$
 (4)

followed by oxidation of  $CO_2^-$  by  $Ru^{IV} = O^{2+}$ ,  $Ru^{III} - OH^{2+}$ , or  $O_2$ .

Mechanisms for the two possibilities are illustrated in Scheme I.

#### Scheme I

Mechanism A (le<sup>-</sup>/lH<sup>+</sup>—"H-atom" transfer)

$$(bpy)_{2}(py)Ru^{IV} = O^{2+} + HCO_{2}^{-} \rightarrow (bpy)_{2}(py)Ru^{III} - OH^{2+} + CO_{2}^{-} (bpy)_{2}(py)Ru^{III} - OH^{2+} + H^{+} + CO_{2}^{-} - \frac{1}{fast} (bpy)_{2}(py)Ru^{II} - OH_{2}^{2+} + CO_{2}$$

$$\{(bpy)_{2}(py)Ru^{IV} = O^{2+} + CO_{2}^{-} + H^{+} + \frac{fast}{fast} (bpy)_{2}(py)Ru^{III} - OH^{2+} + CO_{2}\}$$

Mechanism B 
$$(2e^{-}/1H^{+}--$$
 "hydride" transfer)

$$(bpy)_2(py)Ru^{IV} = O^{2+} + HCO_2^- \rightarrow$$

$$(bpy)_2(py)Ru^{II} - OH^+ + CO_2$$
  
 $(bpy)_2(py)Ru^{II} - OH^+ + H^+ \xrightarrow{fast} (bpy)_2(py)Ru^{II} - OH_2^{2+}$   
Comproportionation

$$(bpy)_2(py)Ru^{IV} = O^{2+} + (bpy)_2(py)Ru^{II} - OH_2^{2+} \rightarrow 2(bpy)_2(py)Ru^{III} - OH^{2-}$$



Figure 5. Absorbance traces obtained by stopped-flow monitoring of the oxidation of  $HCO_2^{-}$  by  $[(bpy)_2(py)Ru(O)]^{2+}$  with  $[HCO_2^{-}] = 3.5$  M at 397 nm and 470 nm in D<sub>2</sub>O.

The fundamental difference between the two is that the ratedetermining redox step in mechanism A involves a  $1e^{-}/1H^{+}$  or H-atom transfer from reductant to Ru<sup>IV</sup>-oxo while in mechanism B, a  $2e^{-}/1H^{+}$  or hydride transfer is involved.

In principle, a distinction between the  $1e^{-}$  and  $2e^{-}$  steps can be made depending on whether  $[(bpy)_2(py)Ru^{II}(OH_2)]^{2+}$  or  $[(bpy)_2(py)Ru^{III}(OH)]^{2+}$  is the initial product since their absorption spectra differ significantly.<sup>1a</sup> However, there is a complication since  $[(bpy)_2(py)Ru^{II}(OH_2)]^{2+}$  once formed undergoes rapid comproportionation (Scheme I) (k (25 °C,  $\mu = 0.1$  M) =  $2 \times 10^5$  M<sup>-1</sup> s<sup>-1</sup>) with  $[(bpy)_2(py)Ru^{IV}(O)]^{2+}$  to give  $2[(bpy)_{2^{-}}(py)Ru^{III}(OH)]^{2+}$  11

At the relatively low formate concentration used to generate Figure 1, the initial oxidation rate is slow compared to comproportionation, and  $[(bpy)_2(py)Ru^{III}(OH)]^{2+}$  would be the initial product regardless of whether 1e<sup>-</sup> or 2e<sup>-</sup> mechanisms are operative. However, a distinction between the two can be made based on Ru<sup>II</sup> or Ru<sup>III</sup> as initial product by using stopped-flow monitoring at sufficiently high formate levels that  $k_{IV}[HCO_2^{-}][Ru^{IV}] > k_{com}[Ru^{IV}][Ru^{II}]$ . Rapid monitoring is required because the following, slower oxidation of  $HCO_2^{-}$  by  $[(bpy)_2(py)Ru^{III}(OH)]^{2+}$ also gives  $[(bpy)_2(py)Ru^{II}(OH_2)]^{2+}$ .

As shown in Figure 5, in  $D_2O$  at  $[HCO_2^{-1}] = 3.5$  M, the rate of disappearance of  $[(bpy)_2(py)Ru^{IV}(O)]^{2+}$  monitored at the  $Ru^{III}/Ru^{II}$  isosbestic point at 397 nm is equal to the rate of appearance of  $[(bpy)_2(py)Ru(OH_2)]^{2+}$  at 470 nm. Within the limits of accuracy of the experiment  $Ru^{II}$  must be the initial product. The key to the experiment is the use of  $D_2O$  as solvent since the rate of formate oxidation is relatively unaffected in  $D_2O$ compared to  $H_2O$ , but the rate constant for comproportionation is decreased by a factor of 16 in  $D_2O$ .<sup>11</sup>

The same conclusion was reached by using neat methanol as solvent. Addition of solid  $[(bpy)_2(py)Ru(O)]^{2+}$  to methanol which had been saturated with sodium formate instantly yielded an orange solution whose spectral characteristics were indistinguishable from those of an authentic sample of  $[(bpy)_2(py)Ru(OH_2)]^{2+}$  in the same solvent.<sup>13</sup>

<sup>(13)</sup> There is a reaction between  $[(bpy)_2(py)Ru(O)]^{2+}$  and methanol, but it is much slower,  $t_{1/2} \sim 50$  s.

 $\sim$ 

mechanism	$\Delta G^{\circ'}$ , kcal/mol
le <sup>-</sup> hydrogen atom pathways	
1.1 $\operatorname{Ru}^{11} = O^{2+} + HCO_2H \rightarrow \operatorname{Ru}^{11} - OH^{2+} + HCO_2$	-12.2
$1.2 \text{ Ru}^{1V} = O^{2+} + HCO_2^{-} \rightarrow Ru^{11} - OH^{2+} + CO_2^{-}$	-15.2
1.3 $\operatorname{Ru}^{1V} = O^{2+} + HCO_2^{-} \rightarrow \operatorname{Ru}^{1II} - O^{+} + H + CO_2^{b}$	≥28.4
1e <sup>-</sup> outer-sphere pathway 1.4 Ru <sup>IV</sup> = $O^{2+}$ + HCO <sub>2</sub> <sup>-</sup> → Ru <sup>11I</sup> $-O^{+}$ + HCO <sub>2</sub> <sup>b</sup>	≥-0.05
2e <sup>-</sup> hydride pathways	
$1.5 \text{ Ru}^{1V} = O^{2+} + HCO_2H \rightarrow Ru^{11} - OH^+ + CO_2 + H^+$	-31.6 (pH = 2)
$1.6 \text{ Ru}^{1V} = O^{2+} + HCO_2^{-} \rightarrow Ru^{11} - OH^{+} + CO_2$	-43.1
$1.7 \text{ Ru}^{1} = O^{2+} + HCO_2 H \rightarrow Ru^{11} - OH_2^{2+} + CO_2$	-60.9
$1.8 \text{ Ru}^{1} = O^{2+} + HCO_2^{-} \rightarrow Ru^{1} = O^{2+} + H^{-} + CO_2^{c}$	+92.0

<sup>a</sup>Calculations based on the data in Figure 6.  $Ru^{IV}=O^{2+} = [(bpy)_2(py)Ru(O)]^{2+}$ ,  $Ru^{III}=OH^{2+} = [(bpy)_2(py)Ru(OH)]^{2+}$ , and  $Ru^{II}=OH^{2+} = [(bpy)_2(py)Ru(OH_2)]^{2+}$ . <sup>b</sup>Using  $pK_a > 13$  for  $[(bpy)_2(py)Ru^{III}(OH)]^{2+}$ . <sup>c</sup>Calculated using  $E^{\circ} = -2.25$  V (vs. NHE) for the H<sup>+</sup>/H<sup>-</sup>: couple (Latimer, W. M. Oxidation Potentials; Prentice-Hall: Englewood Cliffs, NJ, 1952).

### Discussion

**Rate Laws.** The pH dependence of  $k_{obsd}$  for the oxidation of formate by  $[(bpy)_2(py)Ru(O)]^{2+}$  is consistent with the rate law given in eq 5

$$-d[Ru^{IV}]/dt = k_1[HCO_2H][Ru^{IV}] + k_2[HCO_2^{-1}][Ru^{IV}]$$
(5)

where  $k_1 = 0.01 \pm 0.04 \text{ M}^{-1} \text{ s}^{-1}$  and  $k_2 = 4.2 \pm 0.2 \text{ M}^{-1} \text{ s}^{-1}$  (25 °C,  $\mu = 0.1 \text{ M}$ , NaClO<sub>4</sub>). Activation parameters and isotope effects were determined at pH 7.0 for only the  $k_2$  term. For comparative purposes we also investigated the kinetics of oxidation of formate by  $[(bpy)_2(py)Ru(OH)]^{2+}$  at pH 7. This is a somewhat difficult experiment because in competition with the direct reaction between  $Ru^{III}$ — $OH^{2+}$  and  $HCO_2^-$  is a pathway involving initial disproportionation,  $2Ru^{III}$ — $OH^{2+} \rightarrow Ru^{IV}$ = $O^{2+} + Ru^{II}$ — $OH_2^{2+}$ , followed by oxidation of  $HCO_2^-$  by  $Ru^{IV} = O^{2+.5a}$  As outlined in the Experimental Section two methods were used to study this reaction. Agreement between the two methods was fair at best, and our inability to acquire kinetically precise rate constant data limited our studies to the determination of the rate constant and H/D kinetic isotope effect at pH 7.

Mechanistic Considerations. In addition to the rate law, a number of additional results bear directly on the question of mechanism. Most striking is the observation of a significant  $k_{\text{HCO}_2}/k_{\text{DCO}_2}$ - kinetic isotope effect of  $19 \pm 3$  in the oxidation of formate by  $[(\text{bpy})_2(\text{py})\text{Ru}(\text{O})]^{2+}$ . Large kinetic isotope effects have also been reported involving [(bpy)<sub>2</sub>(py)Ru(O)]<sup>2+</sup> and 2propanol,<sup>5</sup> hydrogen peroxide,<sup>7</sup> and [(bpy)<sub>2</sub>(py)Ru(OH<sub>2</sub>)]<sup>2+,11</sup>

From the combination of rate law, kinetic isotope effect, and product studies, it is clear that the dominant pathway for the oxidation of formate is two-electron in character and involves the  $H-CO_2^{-}$  bond in an intimate way. The mechanism must involve a preassociation between the reactants, and, given the available experimental evidence, the preassociation step is followed by a redox step which must involve net hydride transfer.

$$[(bpy)_2(py)Ru^{IV} = O]^{2+} + HCO_2^{-} \stackrel{K_{4-}^{*}}{\longleftarrow} [(bpy)_2(py)Ru^{IV} = O]^{2+}, HCO_2^{-} (4')$$

$$[(bpy)_{2}(py)Ru^{IV}=O]^{2+}, HCO_{2}^{-} \xrightarrow{k_{rotox}} [(bpy)_{2}(py)Ru^{II}-OH]^{+} + CO_{2} (6)$$

$$[(bpy)_2(py)Ru^{II} - OH]^+ + H^+ \xrightarrow[(rapid)]{} [(bpy)_2(py)Ru^{II} - OH_2]^{2+}$$

With this interpretation the experimentally measured rate constant,  $k_2$ , is, in fact, the product of the association constant,  $K_{a'}$ , and the rate constant for the redox step,  $k_{redox}$ . Given the necessity of intimate interaction between the Ru= $O^{2+}$  and H- $CO_2^{-}$  suggested by the large H/D kinetic isotope effect,  $K_a'$  is not simply the statistically derived association constant,  $K_a$ , given in

eq 7. Equation 7 is valid assuming spherical reactants of radii  $r_{\rm A}$  and  $r_{\rm B}$ 

$$K_{\rm a} = \frac{4\pi N_{\rm o}(r_{\rm A} + r_{\rm B})^3}{3000} \exp(-w/RT)$$
(7)

 $N_{\rm o}$  is Avogadro's number and w the electrostatic free energy change arising from formation of the association complex.<sup>14</sup>  $K_a'$ is the product of a  $K_a$ -like term and an orientational factor reflecting the fraction of association complexes in which the reactants have the appropriate relative orientation(s) for the redox step to occur.14

In earlier work based on metal-ion oxidants where there are displaceable ligands, e.g., Tl<sup>III</sup>, Co<sup>III</sup>, Mn<sup>III</sup>, evidence is available to suggest the formation of intermediate formic acid or formato complexes.<sup>15-17</sup> Two characteristic features of these reactions are positive  $\Delta S^*$  values (20-26 eu) and relatively small k(H- $CO_2^{-})/k(D-CO_2^{-})$  isotopic ratios (1.4-3.4). The Ru<sup>IV</sup> complex is substitutionally inert at its normal coordination positions. Oxidation could occur via coordination expansion to give a seven-coordinate intermediate

$$[(bpy)_2Ru = 0 J^{2+} + HCO_2 = [(bpy)_2Ru = 0CHJ^{2+}]$$

followed by an intramolecular redox step, however: (1) There is no evidence for the appearance of an intermediate early in the stopped-flow traces. (2) There is no precedence for coordination sphere expansion at Ru in this coordination environment. (3) The rate of H<sub>2</sub><sup>18</sup>O exchange with the bound oxo group, which could logically proceed via an intermediate like  $[(bpy)_2(py)Ru^{IV}-(OH)_2]^{2+}$ , appears to be slow.<sup>1,8</sup>

Rather, it appears that mechanistically, Ru<sup>IV</sup>=O<sup>2+</sup> should be grouped with the oxo-containing oxidants  $MnO_4^{-18}$  and  $Np^{V1119}$ whose reactions are characterized by large negative  $\Delta S^*$  values (-14 and -31 eu, respectively) and sizeable H/D primary kinetic isotope effects (7 and 10, respectively). Comparative kinetics data for the oxidation of formate by  $MnO_4^-$ ,  $Np^{VII}$ , or  $Ru^{IV}/Ru^{III}$  are collected in Table III.

Thermodynamics, Available Pathways. In Figure 6 are shown pH-potential plots for a series of couples of relevance to the oxidation of formate ion/formic acid by  $Ru^{1V} = O^{2+}$ . The data in Figure 6 were used to calculate free energy changes for the reactions in Scheme II. In Scheme II the reactions are grouped

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Table III.	Comparative	Kinetics Data	for the	Oxidation of	Formate by '	Various Oxo Re	eagents

oxidant	substrate	$k^{25^{\circ}C} (M^{-1} s^{-1})$	$\Delta H^*$ (kcal/mol)	$\Delta S^*$ (eu)	$k_{\rm H}/k_{\rm D}$	ref
$(bpy)_2(py)Ru(O)^{2+}$	HCOO-	4.3	7.7	-30	19	a
$(bpy)_2(py)Ru(OH)^{2+}$	HCOO-	0.01			3	а
MnO <sub>4</sub> -	HCOO-	3.0	12.4	-15	10	18a
MnO <sub>4</sub> -	(NH <sub>3</sub> ) <sub>5</sub> CoOCHO <sup>2+</sup>	0.21	13.3	-17	10.5	26
Np(VII)	HCOO-	300			10	19





Figure 6. Potential pH diagrams for the ruthenium and formic acid couples of interest. Potentials for Ru couples from ref 1, Ru<sup>1V</sup>O<sup>2+</sup> =  $[(bpy)_2(py)Ru(O)]^{2+}$ , Ru<sup>1II</sup>OH<sup>2+</sup> =  $[(bpy)_2(py)Ru(OH)]^{2+}$ , and Ru<sup>II</sup>OH<sub>2</sub><sup>2+</sup> =  $[(bpy)_2(py)Ru(OH_2)]^{2+}$ . Potentials for formic acid couples from ref 18b and 30. The breaks in the plots coincide with an acid dissociation constant, e.g.,  $pK_a = 3.75$  for HCO<sub>2</sub>H, and the slopes of the curves reflect the differences in proton and electron content for the couples as predicted by the Nernst equation: (1) 1e<sup>-</sup>; slope = 0 mV/pH unit, (2) 2e<sup>-</sup>/1H<sup>+</sup>; 30 mV/pH unit, (3) 1e<sup>-</sup>/1H<sup>+</sup> or 2e<sup>-</sup>/2H<sup>+</sup>; 59 mV/pH unit, (4) 1e<sup>-</sup>/2H<sup>+</sup>; 118 mV/pH unit.

with regard to the number of  $e^-$  equiv involved in the elementary steps shown.

There are three reasonable mechanisms for the oxidation of  $HCO_2^-$  by  $[(bpy)_2(py)Ru(O)]^{2+}$  given the large  $k_H/k_D$  kinetic isotope effect and  $Ru^{II}$  as the initial product. One is hydride transfer via eq 1.6 of Scheme II which is spontaneous by -43.1 kcal/mol. In the context used here, hydride transfer describes a process in which synchronous transfer of a proton and two electrons occurs from reductant to oxidant. Formation of a free hydride ion on a time scale long enough for the system to attain equilibrium with its surroundings is disfavored;  $\Delta G = +92.0$  vs. kcal/mol from eq 1.8 in Scheme II.

The second and third possibilities both involve H-atom transfer in the initial step, in one case followed by rapid outer-sphere electron transfer ( $k_{et}$  in eq 8) before the initial redox products can separate from the surrounding solvent cage ( $k_{sep}$  in eq 8)

 $(bpy)_2(py)Ru^{III} - OH^{2v} + CO_2^{-} (bpy)_2(py)Ru^{II} - OH_2^{2v} + CO_2$ In the other case, separation of  $[(bpy)_2(py)Ru^{III} - OH]^{2+}$  and  $CO_2^{-}$  may occur but in order to explain  $[(bpy)_2(py)Ru^{II} - OH_2]^{2+}$  as the initial Ru product, it is necessary to invoke selective oxidation of  $CO_2^-$  by  $Ru^{III}$ 

$$(bpy)_{2}(py)Ru^{III} - OH^{2+} + CO_{2}^{-} \rightarrow (bpy)_{2}(py)Ru^{II} - OH^{+} + CO_{2}$$
$$(bpy)_{2}(py)Ru^{II} - OH^{+} + H^{+} \xrightarrow[(rapid)]{} (bpy)_{2}(py)Ru^{II} - OH_{2}^{2+}$$

in competition with oxidation by  $Ru^{IV}$ 

$$(bpy)_{2}(py)Ru^{III} O^{2+} + CO_{2} \rightarrow (bpy)_{2}(py)Ru^{III} O^{+} + CO_{2}$$
$$(bpy)_{2}(py)Ru^{III} O^{+} + H^{+} \xrightarrow{(rapid)} (bpy)_{2}(py)Ru^{III} OH^{2+}$$

Large  $k_{\rm H}/k_{\rm D}$  kinetic isotope effects have been found for H-atom transfer pathways involving Ru<sup>IV</sup>= $O^{2+7,11}$  and rapid electron transfer between [(bpy)<sub>2</sub>(py)Ru<sup>III</sup>--OH]<sup>2+</sup> and CO<sub>2</sub><sup>-</sup> is reasonable given the reaction

$$(NH_3)_5Ru^{111}(isn)^{3+} + CO_2^- \rightarrow (NH_3)_5Ru(isn)^{2+} + CO_2$$

where (isn is isonicotinamide) and k (23 °C,  $\mu = 0.1$ ) = 1.04 × 10<sup>10</sup> M<sup>-1</sup> s<sup>-1</sup>.<sup>20</sup> Oxidation of HCO<sub>2</sub><sup>-</sup> by Ru<sup>IV</sup>=O<sup>2+</sup> by H-atom transfer ( $\Delta G = -15.2$  kcal/mol) is far less favorable than hydride transfer and although there is not direct experimental basis for choosing between H-atom and hydride transfer we favor the latter based on the following considerations: (1) There is good evidence in other studies that oxidation of C-H bonds by Ru<sup>IV</sup>=O<sup>2+</sup> does occur by hydride transfer.<sup>5.6</sup> (2) The one-electron, relatively slow oxidation of HCO<sub>2</sub><sup>-</sup> by [(bpy)<sub>2</sub>(py)Ru<sup>III</sup>(OH)]<sup>2+</sup> has a small  $k_{\rm H}/k_{\rm D}$  kinetic isotope effect (Table IV) and probably occurs by initial outer-sphere electron transfer

$$(bpy)_2(py)Ru^{III} \rightarrow OH^{2+} + HCO_2^- \rightarrow$$

 $(bpy)_2(py)Ru^{II}-OH^{2+} + HCO_2$ 

followed by rapid proton equilibration to give  $[(bpy)_2(py)Ru^{II}-(OH_2)]^{2+}$  and  $CO_2^{-}$ .

In the oxidation of  $H_2O_2$ ,<sup>7</sup>

$$(bpy)_2(py)Ru^{IV} = O^{2+} + H_2O_2 \rightarrow (bpy)_2(py)Ru^{III} - OH^{2+} + HO_2$$

both  $Ru^{IV} = O^{2+}$  and  $Ru^{III} = OH^{2+}$  utilize H-atom pathways, and the two are comparable ratewise with the enhanced rate for  $Ru^{IV} = O^{2+}$  attributable to the ~100 mV greater potential for the  $Ru^{IV} = O^{2+}/Ru^{III} = OH^{2+}$  couple compared to the  $Ru^{III} = OH^{2+}/Ru^{II} = OH^{2+}$  couple. The inability of  $[(bpy)_2(py)Ru^{III} = (OH)]^{2+}$  to find a competitive, relatively facile H-atom pathway in the oxidation of  $HCO_2^{-}$  suggests that a facile H-atom pathway may not exist for  $[(bpy)_2(py)Ru^{IV}(O)]^{2+}$  which is competitive with hydride transfer.

Magnetic studies on  $[(bpy)_2(py)Ru(O)]^{2+}$  show that the complex is a ground-state singlet but with a low-lying magnetic triplet state.<sup>1b</sup> The magnetism is consistent with a pattern of lowest levels consisting of a degenerate or nearly degenerate set of  $d\pi$  levels which would be largely  $d_{xz}$  and  $d_{yz}$  in an axis system where the Z axis lies along the Ru=O bond. From the results of the magnetic study and IR evidence for multiple Ru-O bonding ( $\nu(Ru=O) = 792 \text{ cm}^{-1}$ ), the acceptor orbitals for the Ru=O<sup>2+</sup> oxidant are a pair of largely metal based  $d_{xz}$ ,  $d_{yz}$  orbitals but with considerable  $p_x(O)$  and  $p_y(O)$  character arising from  $d\pi(Ru)-p(O)$ mixing with the oxo group.

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Given the electronic properties of the oxidant and the evidence for hydride transfer, it is possible to reach some reasonable conclusions concerning the nature of the electronic interactions which provide a basis for the redox step. They include the following: (1) Weak initial outer-sphere electronic coupling between the reactants-Ru<sup>IV</sup>=O<sup>2+</sup>, HCO<sub>2</sub>-and initial redox products-Ru<sup>11</sup>—OH<sup>+</sup>, CO<sub>2</sub>. (2) Strong  $\nu$ (H–CO<sub>2</sub><sup>-</sup>) induced electronic coupling between  $\sigma(C-H)$  and the  $d_{xz} + p_x(O)$  or  $d_{yz} + p_y(O)$  acceptor orbitals. A contributing factor to the enhanced rate of oxidation of HCO<sub>2</sub><sup>-</sup> compared to HCO<sub>2</sub>H may be the higher relative energy of  $\sigma(C-H)$  for  $HCO_2^{-21}$  which would enhance the degree of electronic interaction between  $\sigma(C-H)$  and the d $\pi$ acceptor orbitals of the oxidant. (3) As electron flow into the acceptor orbital becomes significant, an O-localized electron pair on the oxo ligand becomes available for donation to the transferring proton. (4) The concerted nature of the process is assured by the highly unfavorable energetics associated with formation of a free hydride ion in a step like,  $Ru^{IV} = O^{2+}$ ,  $H - CO_2^- \rightarrow [Ru^{IV}O^{2+}, H^-, CO_2]$ , eq 1.8 in Scheme II. (5) Since  $Ru^{IV} = O^{2+}$  is a ground-state magnetic singlet, there is a net spin change in the hydride transfer step,  $^{I}(bpy)_{2}(py)Ru^{IV}(O)]^{2+}$ ,  $HCO_{2}^{-} \rightarrow ^{I}[(bpy)_{2}(py)Ru^{II}(OH)]^{+}$ ,  $CO_2$  and no basis for spin restrictions.

Of the three types of available pathways for the oxidation of formate ion by the substitutionally inert Ru<sup>IV</sup>=O<sup>2+</sup> complexouter-sphere electron transfer, H-atom transfer, and hydride transfer-outer-sphere electron transfer is the most simple and primitive mechanistically. Its reaction demands include association between reactants, weak electronic orbital overlap, and thermally activated electron transfer. Outer-sphere electron transfer is not a competitive pathway in the oxidation of formate ion by Ru<sup>1V</sup>=O<sup>2+</sup> in part because of the relatively unfavorable energetics of forming deprotonated Ru<sup>III</sup> as [(bpy)<sub>2</sub>(py)Ru<sup>III</sup>(O)]<sup>+</sup> and the unstable one-electron intermediate and HCO<sub>2</sub> (eq 1.4 in Scheme II). In the classical limit the dependence of the outer-sphere electron transfer rate constant,  $k_{\rm et}$ , on  $\Delta G$  is predicted to be of the form  $k_{\rm et} \propto \exp[(\lambda + \Delta G)^2/4\lambda RT]$  where  $\lambda$  is the sum of the intramolecular and solvent trapping barriers to electron transfer. The appearance of high energy intermediates increases  $\Delta G$  and decreases  $k_{et}$ .

In comparing mechanistic demands for H-atom



there are clear similarities and some significant differences: (1) The structural changes induced by two-electron transfer are more profound both at  $HCO_2^-$ , where linear  $CO_2$  rather than bent  $CO_2^-$  is the initial product, and at  $Ru^{1V}=O^{2+}$ , where a greater Ru-Obond length change is expected for  $Ru(IV) \rightarrow (II)$  compared to  $Ru(IV) \rightarrow (III)$ . (2) There are no spin restrictions to either reaction. (3) As for electron transfer, hydride transfer involves the net transfer of charge between reactants and products, and an additional activational contribution must exist arising from the reorientation of solvent dipoles when the reactants are converted into products.22



Figure 7. Potential curves for the  $\nu(H-CO_2^{-})$  and  $\nu(H-ORu)$  normal modes and their intersection illustrating schematically the potential curve for the hydride transfer:  $(bpy)_2(py)Ru^{IV}=O^{2+}$ ,  $HCO_2^- \rightarrow (bpy)_2(py)$ -Ru<sup>11</sup>—OH<sup>2+</sup>, CO<sub>2</sub>. The vibrational spacings are 2830 cm<sup>-1</sup> for  $\nu$ (H–C) and 3455 cm<sup>-1</sup> for  $\nu$ (H-O) with the  $\nu$ (D-C) and  $\nu$ (D-O) levels shown as dashed lines. The force constants used to construct the curves were k(H-C) = 487 mdyn/cm and  $k(H-O) = 599 \text{ mdyn/cm}^{21}$ 

Even though the activational requirements favor H-atom over hydride transfer, hydride transfer may be the pathway of choice because of the considerable energy advantage enjoyed by a twoelectron step which avoids the high energy, one-electron intermediate,  $CO_2^-$  (eq 1.2 and 1.6 in Scheme II).

Kinetic Isotope Effects. Given the nature of the hydride transfer pathway, contributions to the interconversion between reactants and products are expected from vibrational modes like  $\nu(Ru=0)$ at the Ru<sup>IV</sup> oxidant and  $\delta(OC)$  bending at formate. However, from the considerable magnitude of the H/D kinetic isotope effect, it seems clear that the dominant vibrational contributors to the reaction are normal modes having appreciable  $\nu(H-CO_2^-)$ character in the formate reactant and  $\nu$ (H-ORu) character in the Ru<sup>111</sup> product.

In Figure 7 are shown the following: (1) Harmonic oscillator potential curves for the  $\nu(H-CO_2)$  and  $\nu(H-ORu)$  modes using v(H-C) = 2830 and v(H-O) = 3455 cm<sup>-1</sup> and the force constants  $k(H-C) = 487 \text{ mdyne/cm and } k(H-O) = 599 \text{ mdyne/cm.}^{23}$  (2) The various energy quantities appropriate for hydride transfer are also illustrated including the internal energy change for the redox step ( $\Delta G = -43$  kcal/mol), the vibrational spacings for  $\nu(D-CO_2)$  and  $\nu(D-ORu)$  (dashed lines), and the experimentally observed energy of activation for oxidation of  $HCO_2^-$  ( $E_a = 7.9$  $\pm$  0.9 kcal/mol). On this scale the approximate energy required if the reaction proceeded via a discrete hydride ion intermediate in the step,  $Ru^{IV}=O^{2+}$ ,  $HCO_2^- \rightarrow Ru^{IV}=O^{2+}$ ,  $H^-$ ,  $CO_2$  would appear at +92 kcal/mol. (3) The curve connecting the two harmonic oscillators is a two-dimensional, schematic attempt to illustrate a linear combination of the Q(H-CO<sub>2</sub><sup>-</sup>) and Q(H-ORu) coordinates which lead to electronic coupling between Ru<sup>IV</sup>=O<sup>2+</sup> and HCO2<sup>-</sup> and to the interconversion between reactants and products.

The experimental facts pertaining to the H/D kinetic isotope effect show that it is not amenable to simple interpretation. The effect is large and considerably temperature dependent;  $k_{\rm H}/k_{\rm D}$ varies from 22 at 289.6 K to 16 at 311.4 K. From the temperature dependent data taken near room temperature (289.6-311.4 K), the difference in the energies of activation is  $E_a(DCO_2^-) - E_a^ (HCO_2^-) = 3.2 \text{ kcal/mol}$ , and the ratio of preexponential factors is 7.

<sup>(21)</sup> Maria, H. J.; Larson, D.; McCarville, M. E.; McGlynn, S. P. Acc.

Chem. Res. 1970, 3, 368. (22) Endicott, J. F.; Kumar, K.; Ramasami, T.; Rotzinger, F. P. Prog. Inorg. Chem. 1983, 30, 141.

<sup>(23)</sup> Gregory, A. R.; Kidd, K. G. J. Mol. Spectrosc. 1981, 85, 375.

# Hydride Transfer of Formate Ion by $[(bpy)_2(py)Ru(O)]^{2+}$

The temperature dependence of  $k_{\rm H}/k_{\rm D}$  has been treated for simple outer-sphere electron-transfer reactions where electronic coupling between reactants is weak.<sup>24,25</sup> In treatments of this kind electron transfer occurs as a nonradiative transition from the vibrational levels of the initial (reactants) to final (products) potential curves. Transition rates are higher for levels near the intersection region between potential curves because overlap of vibrational wave functions between the initial and final states is higher. Maximum  $k_{\rm H}/k_{\rm D}$  isotope effects are predicted to occur at very low temperatures where transitions are dominated by levels close to v = 0, and in the parlance of the solid state physics literature, the reaction occurs by "nuclear tunneling". At v = 0, the lower zero-point energy  $(1/2h\nu)$  for the deuterium-substituted case ensures that the vibrational overlap differences between the  $\nu$ (A-H) and  $\nu$ (A-D) modes are maximized. A larger  $E_a$  is expected for the deuterium case because of the importance of thermal population of levels nearer the intersection region where vibrational overlaps are higher. The energy of activation is predicted to be temperature dependent for either case until low temperatures where the reactions are dominated by transitions from v = 0 levels.

Some attempts have been made to treat H-atom transfer quantitatively in order to account, for example, for data obtained for H-atom abstraction from CH<sub>3</sub>OH or CH<sub>3</sub>CN in low-temperature glasses.<sup>26</sup> In such treatments electronic coupling is included in the form of a potential curve for the transferring H-atom, and vibrational overlap or tunneling calculations are made to calculate transition rates.

Comparisons with Other Systems. In an earlier section note was taken of the fact that for oxidants like Tl<sup>III</sup>, Mn<sup>III</sup>, or Co<sup>III</sup>, formate oxidation occurs by prior coordination. However, clear similarities appear to exist between the pathways for oxidation of  $HCO_2^-$  by  $Ru=O^{2+}$ ,  $MnO_4^-$ , or  $Np^{VII}$  as shown by the collected data in Table III. Data pertaining to the oxidation of formate bound to Co<sup>III</sup> are also included.<sup>27</sup> From the data in Table V the reactions involving oxo reagents have relatively large  $k_{\rm H}/k_{\rm D}$ kinetic isotope effects. However, there is a distinct difference in the pattern of activation parameters, and the mechanisms may be different.

As shown by comparing the  $MnO_4^-$  oxidation of  $HCO_2^-$  and of  $[(NH_3)_5CoO_2CH]^{2+}$ , the larger  $\Delta H^*$  and  $\Delta S^*$  values for MnO<sub>4</sub>compared to Ru<sup>IV</sup>=O<sup>2+</sup> cannot be attributed entirely to electrostatic effects on the preassociation constant,  $K_a'$ . In fact, there is evidence that the  $MnO_4^-$  oxidations occur via an initial oneelectron step as evidenced by the appearance of Co<sup>II</sup> as a product, apparently via,27

$$(NH_3)_5Co^{III}O_2CH^{2+} + MnO_4^{-} \rightarrow (NH_3)_5Co^{III}O_2C^{2+} + HOMnO_3^{-}$$
$$(NH_3)_5Co^{III}O_2C^{2+} \xrightarrow{+5H^+} Co(II) + 5NH_4^{+} + CO_2$$

(24) Buhks, E.; Bixon, M.; Jortner, J. J. Phys. Chem. 1981, 85, 3763. (25) Guarr, T.; Buhks, E.; McLendon, G. J. Am. Chem. Soc. 1983, 105, 3763.

(26) LeRoy, R. J.; Muria, H.; Williams, F. J. Am. Chem. Soc. 1980, 102, 2325

(27) Candlin, J. P.; Halpern, J. J. Am. Chem. Soc. 1963, 85, 2518.

Of additional interest is the result of an <sup>18</sup>O labeling study on the oxidation of  $HCO_2^-$  by  $MnO_4^-$  by Wiberg and Stewart.<sup>28</sup> They found that ca. 30% of the label from <sup>18</sup>O labeled permanganate appeared in the  $CO_2$  product after formate oxidation. Reconciling their observation with the large H/D kinetic isotope effect and the apparent one-electron nature of the initial redox step is difficult. However, one possibility is that incorporation of the label arises after the rate-determining step through the capture of  $CO_2^-$  by  $MnO_4^-$ 

$$H^{+} + O_{3}Mn^{VI}O^{-} + CO_{2}^{-} \rightarrow O_{3}Mn^{VI}OCO_{2}H^{-}$$
(9)

Scrambling of the carbonate oxygens and subsequent loss of CO<sub>2</sub> would account for the observation of <sup>18</sup>O in the CO<sub>2</sub> product.<sup>29</sup> Taube has proposed a similar intermediate in the oxidation of  $[(NH_3)_5CoOCHO]^{2+}$  by MnO<sub>4</sub><sup>-.30</sup> Reduction of CO<sub>2</sub>. The results obtained here bear directly on

the existence of possible pathways for the microscopic reverse of formate oxidation, reduction of CO<sub>2</sub> to formate. Particularly notable in this regard is the appearance of the hydride transfer pathway

$$[(bpy)_2(py)Ru^{IV} = O]^{2+}, HCO_2^- \rightarrow [(bpy)_2(py)Ru^{II} - OH]^+, CO_2$$

The appearance of oxidative hydride transfer suggests the possibility of utilizing low-oxidation state aquo or hydroxo couples as catalysts for the microscopically reverse reaction, reduction of  $CO_2$  to  $HCO_2^-$  via

M-OH<sup>n+</sup>, CO<sub>2</sub>  $\rightarrow$  M=O<sup>(n+1)+</sup>, HCO<sub>2</sub><sup>-</sup>

In this regard it is disappointing that the oxidative step, which is thermodynamically favored by  $\sim$ 43 kcal/mol, is so slow. Even if the reverse pathway exists, the kinetic demands imposed by the reduction may be so severe that rates will be too slow to be of value catalytically.

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**Registry No.** HCOO<sup>-</sup>, 71-47-6; [(bpy)<sub>2</sub>(py)Ru(O)](ClO<sub>4</sub>)<sub>2</sub>, 67202-44-2; [(bpy)<sub>2</sub>(py)Ru(OH)]<sup>2+</sup>, 75495-07-7; HCOOH, 64-18-6; D<sub>2</sub>, 7782-39-0.

Supplementary Material Available: A table of rate constants for the temperature dependence of the oxidation of  $HCO_2^-$  and  $DCO_2^-$  by  $[(bpy)_2(py)Ru(O)]^{2+}$  at  $\mu = 1.0$  M  $(Na_2SO_4)$  at pH 7 (2 pages). Ordering information is given on any current masthead page.

<sup>(28)</sup> Wiberg, K. B.; Stewart, R. J. Am. Chem. Soc. 1956, 78, 1214.

<sup>(29)</sup> Stewart, R. In Oxidation in Organic Chemistry, Part A; Wiberg, K.,
Ed.; Academic Press: New York, 1965; Chapter I.
(30) Taube, H. Electron Transfer Reactions of Complex Ions in Solution; Academic Press: New York, 1970; Chapter IV

<sup>(31)</sup> Endicott, J. F. In Concepts of Inorganic Photochemistry; Adamson, A. W., Fleischauer, P. D., Eds; Wiley: New York, 1975; Chapter 3.